PTO/SB/05 (08-00)

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## UTILITY PATENT APPLICATION **TRANSMITTAL**

YOR9-2000-0606US1(8728-422). Attorney Docket No. **ERICKSON** First Inventor

METHOD AND SYSTEM FOR MARKETPLAC

No. EL599449895US

(Only for new nonprovisional a	pplications under 37 CFR 1.53(b))	Assistant Commissioner for Patents
APPLICATIO	ON ELEMENTS	ADDRESS TO: Box Patent Application Washington, DC 20231
See MPEP chapter 600 concern	ing utility patent application contents.	n (
1. X Fee Transmittal Form (Submit an original and a dupli Applicant claims small See 37 CFR 1.27. 3. X Specification (preferred arrangement set - Descriptive title of - Cross Reference to - Statement Regard - Reference to sequing or a computer progue - Background of the - Brief Summary of - Brief Description - Detailed Description - Claim(s) - Abstract of the Di 4. X Drawing(s) (35 U.S. 5. Oath or Declaration	Il entity status.  [Total Pages 25] Intertity below) Ithe invention Or Related Applications Ing Fed sponsored R & Dience listing, a table, Orgam listing appendix Orgamical Invention Intertity of the Drawings (if filed) Intertity on schools	7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)  8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  a. Computer Readable Form (CRF)  b. Specification Sequence Listing on:  i. CD-ROM or CD-R (2 copies); or  ii. paper  c. Statements verifying identity of above copies  ACCOMPANYING APPLICATION PARTS  9. Assignment Papers (cover sheet & document(s))  10. (when there is an assignee)  11. English Translation Document (if applicable)  12. Information Disclosure Statement (IDS)/PTO-1449  13. Preliminary Amendment  14. X Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
i. DELETIC Signed state named in th 1.63(d)(2) a	15. Certified Copy of Priority Document(s) (if foreign priority is claimed)  16. Other:	
6. Application Data S	Sheet. See 37 CFR 1.76	ti and amondment
17. If a CONTINUING APPLIC or in an Application Data Shee Continuation Prior application information:	ATION, check appropriate box, and supt under 37 CFR 1.76: Divisional Continuation-in-part (CIP  Examiner  DNAL APP\$ only: The entire disclosure of	Group / Art Unit:  I the prior application, from which an oath or declaration is supplied under the prior application and is hereby incorporated by reference.
The Incorporation can only be a	elled upon when a portion has been me	
	18. CORRESPON	IDENCE ADDRESS
Customer Number or Bar Code	Label (Insert Customer No. or Attack	or Correspondence address below hoer code label hera)
All	Frank Chau, Esq.	
Name	F. CHAU & ASSOCIATES	IIP
	1900 Hempstead Turnp	ike Suite 501
Address	1900 Hellipstead Turnp	
City	East Meadow	State New York
Country	USA	relephone pro de. coa.
Name (Print/Type)	Frank Chau	Registration No. (Attorney/Agent) 34,136
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Assistant Commissioner for Patents Washington, D.C. 20231 Sir:

ATTORNEY DOCKET: YOR9-2000-0606US1 (8728-422)

Date: November 30, 2000

Express Mail Label: EL2 Date of Deposit: Novem

449895US 30, 2000

Transmitted herewith for filing is the Patent Application of:

Thomas D. Erickson, Peter K. Malkin Inventors:

METHOD AND SYSTEM FOR MARKETPLACE SOCIAL PROXIES For:

Enclosed are: [X] 19 sheets of specification; [X] 1 sheet(s) of Abstract; [X] 5 sheet(s) of claims; [X] 4 sheet(s) of drawing(s);

An assignment of the invention to International Business Machines Corporation with Recordation Form.

Declaration and Power of Attorney. []

application, from which priority A certified copy of a [] under Title 35 USC §119 is claimed.

[] Associate Power of Attorney.

The filing fee has been calculated as shown below:

(Col. 1) (Col. 2) OTHER THAN A SMALL ENTITY

FOR:	NO. FILED	NO. EXTRA	RATE	FEE
BASIC FEE				\$710.00
TOTAL CLAIMS	24 -20 =	4	X \$18 =	72.00
INDEP CLAIMS	3 -3 =	0	X \$80 =	0
MULTIPLE DEI PRESENTED	PENDENT CLAIR	MS	+ 270 =	
if the differer	nce in Col.	l is less	TOTAL	\$ 782.00

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The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0510/IBM (Yorktown Heights). A duplicate copy of this sheet is enclosed.

Any additional filing fees required under 37 CFR 1.16. [X]

Any patent application processing fees under 35 CFR 1.17. [X]

Respectfully submitted,

By:

Frank Chau

Registration No. 34,136

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IBM Corporation

Intellectual Property Law Dept.

P.O. Box 218

Yorktown Heights, NY 10598

CERTIFICATION UNDER 37 C.F.R. §1.10

I hereby certify that this Application transmittal and documents referred to as enclosed are being deposited with the United States Postal Service on this date November 30, 2000 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EL599449895US addressed to: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Fank Chau

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## **FEE TRANSMITTAL** for FY 2001

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT** 

(\$) 782.00

Complete if Known				
Application Number				
Filing Date	November 30, 2000			
First Named Inventor	Thomas D. Erickson			
Examiner Name				
Group Art Unit				
Attorney Docket No.	Y0R9-2000-0606US1 (8728-42			

METHOD OF PAYMENT	FEE CALCULATION (continued)				
The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:	3. ADDITIONAL FEES Large Entity Small Entity				
Deposit Account Number 50-0510/IBM	Fee Fee Fee Fee Code (\$) Fee Description Fee Pai	₫			
Deposit	105 130 205 65 Surcharge - late filing fee or oath	41			
Account Name IBM/Yorktown Heights	127 50 227 25 Surcharge - late provisional filing fee or cover sheet	_			
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	139 130 139 130 Non-English specification	4			
Applicant claims small entity status.	147 2,520 147 2,520 For filing a request for ex parte reexamination				
See 37 CFR 1.27  2. Payment Enclosed:	112 920° 112 920° Requesting publication of SIR prior to Examiner action				
Check Credit card Money Other	113 1,840° 113 1,840° Requesting publication of SIR after Examiner action				
FEE CALCULATION	115 110 215 55 Extension for reply within first month	_			
1. BASIC FILING FEE	116 390 216 195 Extension for reply within second month	╝			
Large Entity Small Entity	117 890 217 445 Extension for reply within third month	41			
Fee Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	118 1,390 218 695 Extension for reply within fourth month				
101 710 201 255 (1975) 67	128 1,890 228 945 Extension for reply within fifth month	╛			
106 320 206 160 Design filing fee 710	119 310 219 155 Notice of Appeal	41			
107 490 207 245 Plant filing fee	120 310 220 155 Filing a brief in support of an appeal	<b>∐</b> I			
108 710 208 355 Reissue filing fee	121 270 221 135 Request for oral hearing	╝			
114 150 214 75 Provisional filing fee	138 1,510 138 1,510 Petition to institute a public use proceeding	╝			
	140 110 240 55 Petition to revive - unavoidable	∐L			
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2. EXTRA CLAIM FEES	142 1,240 242 620 Utility issue fee (or reissue)	╝			
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Total Claims	144 600 244 300 Plant issue fee	IJ.			
Claims	122 130 122 130 Petitions to the Commissioner	IJĬ			
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Large Entity Small Entity Fee Fee Fee Fee Description Code (\$) Code (\$)	581 40 581 40 Recording each patent assignment per property (times number of properties)				
103 18 203 9 Claims in excess of 20	146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))				
102 80 202 40 Independent claims in excess of 3	149 710 249 355 For each additional invention to be				
104 270 204 135 Multiple dependent claim, if not paid	examined (37 CFR § 1.129(b))	┨┠			
109 80 209 40 ** Reissue independent claims over original patent	179 710 279 355 Request for Continued Examination (RCE)	$\dashv I$			
110 18 210 9 ** Reissue claims in excess of 20 and over original patent	169 900 169 900 Request for expedited examination of a design application	<b>-  </b>			
SUBTOTAL (2) (\$) 72.00	Other fee (specify)				
**or number previously paid, if greater; For Reissues, see above Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)					

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Name (Print/Type)	Frank Chau	Registration No. (Attorney/Agent)	34,136	Telephone	(516) 357-0091
Signature	M			Date	11/30/00

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